## IN THE CLAIMS

- 1. (Original) A multi-layer structure for a semiconductor device, comprising: a silicate interface layer; and a high-k dielectric layer overlying the silicate interface layer.
- 2. (Original) The multi-layer structure of claim 1, wherein the silicate interface layer has a dielectric constant greater than that of silicon nitride.
- 3. (Original) The multi-layer structure of claim 1, wherein the high-k dielectric layer has a dielectric constant greater than that of the silicate interface layer.
- 4. (Original) The multi-layer structure of claim 1, wherein the silicate interface layer is formed of a metal silicate material  $(M_{1-x}Si_xO_2)$ .
- 5. (Original) The multi-layer structure of claim 4, wherein x is approximately 0.30-0.99.
- 6. (Original) The multi-layer structure of claim 4, wherein the metal "M" is selected from the group consisting of hafnium (Hf), zirconium (Zr), tantalum (Ta), titanium (Ti) and aluminum (Al).
- 7. (Original) The multi-layer structure of claim 1, wherein the silicate interface layer is formed by an ALD technique, a MOCVD technique or a reactive sputtering technique.
- 8. (Original) The multi-layer structure of claim 1, wherein the silicate interface layer is formed to a thickness of approximately 5-10 angstroms.
- 9. (Original) The multi-layer structure of claim 1, wherein the high-k dielectric layer is a metal oxide layer.

Docket No. 4591-170

- 10. (Original) The multi-layer structure of claim 9, wherein the metal oxide layer is an HfO<sub>2</sub> layer, a ZrO<sub>2</sub> layer, a Ta<sub>2</sub>O<sub>3</sub> layer, an Al<sub>2</sub>O<sub>3</sub> layer, a TiO<sub>2</sub> layer, an Y<sub>2</sub>O<sub>3</sub> layer, or a BST layer, a PZT layer, or combinations thereof.
- 11. (Original) The multi-layer structure of claim 9, wherein the metal oxide layer is formed using an ALD technique, a MOCVD technique or a reactive sputtering technique.
- 12. (Original) The multi-layer structure of claim 9, wherein the silicate interface layer is formed of a metal silicate material, and wherein the metal of the silicate interface layer is the same as the metal of the metal oxide layer.
- 13. (Original) The multi-layer structure of claim 1, wherein the high-k dielectric layer comprises one or more ordered pairs of first and second layers.
- 14. (Original) The multi-layer structure of claim 13, wherein the first layer is formed of HfO<sub>2</sub>, Ta<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub> or ZrO<sub>2</sub> and the second layer is formed of Al<sub>2</sub>O<sub>3</sub>.
- 15. (Original) The multi-layer structure of claim 13, wherein the first layer has a first fixed charge and the second layer has a second fixed charge opposite that of the first fixed charge.
- 16. (Original) The multi-layer structure of claim 13, wherein the thickness of the second layer is approximately one half the thickness of the first layer.
- 17. (Original) The multi-layer structure of claim 16, wherein the first layer is formed to a thickness of approximately 10 angstroms and the second layer is formed to a thickness of approximately 5 angstroms.
- 18. (Original) The multi-layer structure of claim 13, wherein a total thickness of the second layer is not more than approximately one third of the total thickness of the high-k dielectric layer.
- 19. (Original) The multi-layer structure of claim 13, wherein the upper most layer of the high-k dielectric layer is  $Al_2O_3$ .

Docket No. 4591-170

Page 3 of 8

Application No. 09/776,059

20. (Original) A multi-layer structure for a semiconductor device, comprising: a silicate interface layer having a dielectric constant greater than that of silicon nitride; and

a high-k dielectric layer overlying the silicate interface layer,

wherein the high-k dielectric layer comprises one or more ordered pairs of first and second layers, and wherein the high-k dielectric layer has a dielectric constant greater than that of the silicate interface layer.

- 21. (Original) The multi-layer structure of claim 20, wherein the silicate interface layer is formed of a metal silicate material (M<sub>1-x</sub>Si<sub>x</sub>O<sub>2</sub>), the metal "M" being selected from the group consisting of hafnium (Hf), zirconium (Zr), tantalum (Ta), titanium (Ti) and aluminum (Al).
- 22. (Original) The multi-layer structure of claim 20, wherein the first layer is formed of HfO<sub>2</sub>, Ta<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub> or ZrO<sub>2</sub> and the second layer is formed of Al<sub>2</sub>O<sub>3</sub>.
- 23. (Original) The multi-layer structure of claim 20, wherein the thickness of the second layer is approximately one half the thickness of the first layer.
- 24. (Original) The multi-layer structure of claim 20, wherein a total thickness of the second layer is not more than approximately one third of the total thickness of the high-k dielectric layer.
- 25. (Original) The multi-layer structure of claim 20, wherein the upper most layer of the high-k dielectric layer is Al<sub>2</sub>O<sub>3</sub>.
- 26. (Withdrawn) A method of forming a multi-layer structure for a semiconductor device, comprising:

forming a silicate interface layer; and

forming a high-k dielectric layer overlying the silicate interface layer.

27. (Withdrawn) The method of claim 26, wherein said forming the high-k dielectric layer comprises:

Docket No. 4591-170

Page 4 of 8

Application No. 09/776,059

forming a first layer having a first predefined charge;

forming a second layer overlying the first layer, the second layer having a second predefined charge that is opposite that of the first layer.

- 28. (Withdrawn) The method of claim 27, wherein the first predefined charge is a negative fixed charge and the second predefined charge is a positive fixed charge.
- 29. (Withdrawn) The method of claim 27, which further comprises forming one or more first and second layers.
- 30. (Withdrawn) The method of claim 29, wherein the upper most layer of the high-k dielectric layer is Al<sub>2</sub>O<sub>3</sub>.
- 31. (Withdrawn) The method of claim 26, wherein said forming the high-k dielectric layer comprises:

forming a first layer having a first controlled thickness; and

forming a second layer overlying the first layer, the second layer having a second controlled thickness, wherein the first and second controlled thicknesses are in the range of approximately 2-60 angstroms.

- 32. (Withdrawn) The method of claim 31, wherein a total thickness of the second layer is not more than approximately one third of the total thickness of the high-k dielectric layer.
- 33. (Withdrawn) The method of claim 31, wherein the second layer is approximately one half the thickness of the first layer.
- 34. (Withdrawn) The method of claim 31, wherein the first layer is formed of HfO<sub>2</sub>, Ta<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub> or ZrO<sub>2</sub> and the second layer is formed of Al<sub>2</sub>O<sub>3</sub>.
- 35. (Withdrawn) The method of claim 26, wherein the silicate interface layer is formed of a metal silicate material  $(M_{1,x}Si_xO_2)$ .

Docket No. 4591-170

- 36. (Withdrawn) The method of claim 35, wherein x is approximately 0.30-0.99, and wherein the metal "M" is selected from the group consisting of hafnium (Hf), zirconium (Zr), tantalum (Ta), titanium (Ti) and aluminum (Al).
- 37. (Withdrawn) The method of claim 26, wherein said forming the silicate interface layer is performed by an ALD technique, a MOCVD technique or a reactive sputtering technique.
- 38. (Withdrawn) The method of claim 26, wherein the silicate interface layer is formed to a thickness of approximately 5-10 angstroms.
- 39. (Withdrawn) The method of claim 26, wherein the high-k dielectric layer is a metal oxide layer selected from the group consisting of an HfO<sub>2</sub> layer, a ZrO<sub>2</sub> layer, a Ta<sub>2</sub>O<sub>3</sub> layer, an Al<sub>2</sub>O<sub>3</sub> layer, a TiO<sub>2</sub> layer, an Y<sub>2</sub>O<sub>3</sub> layer, a BST layer, a PZT layer, and combinations thereof.
- 40. (Withdrawn) The method of claim 39, wherein the metal oxide layer is formed using an ALD technique, a MOCVD technique or a reactive sputtering technique.
- 41. (Withdrawn) The method of claim 39, wherein the silicate interface layer is formed of a metal silicate material, and wherein the metal of the silicate interface layer is the same as the metal of the metal oxide layer.
  - 42. (Original) A transistor comprising:
  - a substrate;
  - a silicate interface layer formed over the substrate; and
  - a high-k dielectric layer formed over the silicate interface layer;
  - a gate formed over the high-k dielectric layer, and
  - a source/drain region formed adjacent the gate.
- 43. (Original) The transistor of claim 42, wherein an upper most portion of the high-k dielectric layer is Al<sub>2</sub>O<sub>3</sub>, and wherein said gate comprises poly-silicon.
  - 44. (Original) A non-volatile memory, comprising:

Docket No. 4591-170

Page 6 of 8

Application No. 09/776,059

- a substrate;
- a floating gate overlying the substrate;
- a silicate interface layer formed over the floating gate;
- a high-k dielectric layer formed over the silicate interface layer, and
- a control gate overlying the high-k dielectric layer.
- 45. (Original) A capacitor for a semiconductor device, comprising;
- a lower electrode;
- a silicate interface layer formed over the lower electrode;
- a high-k dielectric layer formed over the silicate interface layer, and
- an upper electrode formed over the high-k dielectric layer.
- 46. (New) The multi-layer structure of claim 1, wherein the multi-layer is used for a capacitor between a lower electrode and an upper electrode.
- 47. (New) The multi-layer structure of claim 14, wherein the multi-layer is used for a capacitor between a lower electrode and an upper electrode.
- 48. (New) The multi-layer structure of claim 20, wherein the multi-layer is used for a capacitor between a lower electrode and an upper electrode.
- 49. (New) The multi-layer structure of claim 22, wherein the multi-layer is used for a capacitor between a lower electrode and an upper electrode.

10052 Value o 1 10101031031